|   |  |                              |                       |  | -               | In                       |
|---|--|------------------------------|-----------------------|--|-----------------|--------------------------|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  (Under 37 CEP 197(b) or 1 97(c))  Docket No. 15689.61  |  |                              |                       |  |                 |                          |
| (Under 37 CFR 197(b) or 1.97(c))  |  |                              |                       |  |                 |                          |
| In Re A   | oplication of:   | Yukihiko OKUI                | MURA et al.           |  |                 |                          |
|   | tion No. Filing Date of  | Examiner Qutbuddin Ghulamali | Customer No<br>022913 |  | Art Unit<br>661 | Confirmation No.<br>7195 |
| Title:  | Title: Channel Estimation Device and Method, Demodulation Device and Method, and Fading Frequency Decision Device and Method |                              |                       |  |                 |                          |
| Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450   |  |                              |                       |  |                 |                          |
|   |  | 37 CFR 1                     | 1.97(b)               |  |                 |                          |
| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |  |                              |                       |  |                 |                          |
|   |  | 37 CFR 1                     | 1.97(c)               |  |                 |                          |
| 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:  |  |                              |                       |  |                 |                          |
|   | the statement specified in 37 CFR 1.97(e);   |                              |                       |  |                 |                          |
|   | OR   |                              |                       |  |                 |                          |
|   | ★ Ithe fee set forth in 37   | CFR 1.17(p).                 |                       |  |                 |                          |

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT 15689.61 (Under 37 CFR 197(b) or 1.97(c)) In Re Application Of: Yukihiko OKUMURA et al. Application No. **Filing Date Customer No** Examiner **Group Art Unit** Confirmation No. 09/701,705 12/1/2000 Qutbuddin Ghulamali 022913 2661 7195 Title: Channel Estimation Device and Method, Demodulation Device and Method, and Fading Frequency Decision Device and Method Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of \$ is attached. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. Charge the amount of \$ Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge I hereby certify that this correspondence is being deposit account is being facsimile transmitted to the deposited with the United States Postal Service with United States Patent and Trademark Office sufficient postage as first class mail in an envelope (Fax. No. addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) (Date) Signature of Person Mailing Correspondence Signature Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate \*This certificate may only be used if paying by deposit account. Dated: February 13, 2006 Signature ADRIAN J. LEE Reg. No.: 42,785

Attorney for Applicant

Docket No.

| CERTIFICATE OF MAILING BY "E  | XPRESS MAIL" (37 CFR 1.1  | • • /  | ocket No.             |
|---|---|--|-----------------------|
| Applicant(s): Yukihiko OKUMURA et a   | 1.  | '  | 5689.61               |
| Application No. Filing Date 12/1/2000   | Examiner<br>Qutbuddin Ghulamali                                       | Customer No<br>022913                                | Group Art Uni<br>2661 |
| and Fading  | evice and Method, Demodulation D<br>g Frequency Decision Device and N | evice and Method,<br>Method                          |                       |
| TRADEMINE.  |   |  |                       |
| I hereby certify that the following corresponde   |   |  |                       |
| Transmittal for Information Disclosure Statement (2 p<br>Information Disclosure Statement Under 37 C.F.R. §<br>Form PTO-1449 Listing of References (2 page);<br>PTO 2038 Credit Card form for \$180.00<br>Legible Copy of References<br>Certificate of Express Mail (EV773481395US);<br>Acknowledgment Postcard |   |  |                       |
| <u> </u>  | Identify type of correspondence)                                      |  |                       |
| 1.10 in an envelope addressed to: Commissio  February 13, 2006  (Date)  |   | exandria, VA 22313- <sup>-</sup><br>PRIAN J. LEE     | 1450 on               |
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|   | (Typed or Printed Name (Signature of                                  | of Person Mailing Cor  Corres  Person Mailing Corres |                       |
|   |   | 773481395 US<br>Mail" Mailing Label N                | lumber)               |
| Note: Each pa   | per must have its own certificate of                                  | mailing.   |                       |



PATENT APPLICATION Docket No: 15689.61

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of |   | )                |
|----------------------|---|------------------|
|                      | Yukihiko OKUMURA et al.   | }                |
| Serial No.:          | 09/701,705  | ) Art Unit       |
| Filing Date:         | December 1, 2000  | ) 2661           |
| For:                 | CHANNEL ESTIMATION DEVICE AND METHOD, DEMODULATION DEVICE AND METHOD, AND FADING FREQUENCY DECISION DEVICE AND METHOD | )<br>)<br>)<br>) |
| Customer No.:        | 022913  | )                |

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record 02/15/2006 EFLORES 00000156 09701705

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the

relevance of each listed reference that is not in the English language and unaccompanied by a

translation into English is provided. The concise explanation for Japanese Publication No.: 09-

298489 is provided in the English abstract of that publication. The concise explanation for PCT

Japanese Publication No.: WO98/47246 is provided in the English abstract of that publication.

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of

\$180.00 is enclosed to secure consideration of the references submitted with this Information

Disclosure Statement.) Please credit any over payment or charge any additional fees to Deposit

Account No. 23-3178 of the undersigned.

DATED February 13, 2006.

Respectfully submitted,

ADRIAN J. LEE

Attorney for Applicant

Registration No. 42,785

Customer No. 022913

AJL: ds DS0000005029V001

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|                                   | Docket number (Optional) 15689.61    | Application Number 09/701,705 |  |  |
|-----------------------------------|--------------------------------------|-------------------------------|--|--|
| (Use several sheets if necessary) | Applicant(s) Yukihiko OKUMURA et al. |                               |  |  |
| FEB 132006                        | Filing Date 12/1/2000                | Group Art Unit 2661           |  |  |

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|--|----------------|-----------------|-----------------|----------------------|-------|----------|---------------------|--------------|
| TRAPENA B                              |                |                 | U.S. PATEN      | T DOCUMENTS          |       |          |                     |              |
| *EXAMINER<br>INITIAL                   | REF            | DOCUMENT NUMBER | DATE            | NAME                 | CLASS | SUBCLASS | FILING<br>IF APPROI |              |
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| *EXAMINER<br>INITIAL                   | REF            | DOCUMENT NUMBER | DATE            | NAME                 | CLASS | SUBCLASS | FILING<br>IF APPRO  |              |
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|  | REF            | DOCUMENT NUMBER | DATE            | COUNTRY              | CLASS | SUBCLASS | Trans<br>YES        | lation<br>NO |
|  | 1              | 09-298489       | 11/18/1997      | Japan                | H04B1 | 707      | X                   |              |
|  | 2              | WO98/47246      | 10/22/1998      | PCT                  | H04B7 | 26       | X                   |              |
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| EXAMINER                               |                |                 |                 | DATE CONSIDERED      |       |          |                     |              |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  | Docket number (Optional) 15689.61    | Application Number 09/701,705 |  |  |  |
|--|--------------------------------------|-------------------------------|--|--|--|
| INFORMATION DISCLOSURE CITATION  O August several sheets if necessary) | Applicant(s) Yukihiko OKUMURA et al. |                               |  |  |  |
| FEB 132006 &   | Filing Date 12/1/2000                | Group Art Unit 2661           |  |  |  |
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| *Examiner Country Documents (Industry Date Parties Andrew Title Date P |  |   |  |  |
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| *Examiner<br>Initial   | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |   |  |  |
|  | 3  | Official Notice of Rejection: Case No.: DCMH150269, Patent Application No.: 2003-277907 |  |  |
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